

Brookfield Engineering Laboratories' Statement Regarding Conflict Minerals

Summary

On August 22, 2012, the Securities and Exchange Commission ("SEC") adopted a new rule and form, as mandated by Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act ("Dodd-Frank Act"), to require companies to publicly disclose their use of conflict minerals that originated in the Democratic Republic of the Congo ("DRC") or an adjoining country (together with the DRC, "Covered Countries"). The new rule takes effect on November 13, 2012.

Section 1502 of the Dodd-Frank Act amends the Securities Exchange Act of 1934 to add Section 13(p), which directs the Commission to issue rules requiring certain companies to disclose their use of "conflict minerals" if those minerals are "necessary to the functionality or production of a product" manufactured by those companies or contracted by those companies to be manufactured. Under Section 1502, the term "conflict minerals" includes tantalum, tin, gold, or tungsten. Congress enacted Section 1502 because of concerns that the exploitation and trade of conflict minerals by armed groups is helping to finance conflict in the DRC region and is contributing to an emergency humanitarian crisis.

The rule applies to a company that uses any conflict minerals if:

- The company files reports with the SEC pursuant to Exchange Act Sections 13(a) or 15(d); and
- the minerals are "necessary to the functionality or production" of a product manufactured by the company or contracted by the company to be manufactured.

Brookfield Engineering is exempt from Conflict Minerals Disclosure because we do not file reports with the SEC pursuant to Exchange Act Sections 13(a) or 15(d).

Laboratory and Process Instruments that are incorporated in an in-line application

Brookfield Engineering is in pursuit of meeting the requirements of the rule in order to accommodate the needs of our customers who have purchased this type of instrumentation. Attached is the Electronic Industry Citizenship Coalition (EICC) Conflict Minerals Reporting Template that has been completed to the degree of our current knowledge related to raw material sources.



An Initiative of the BCC and GeSI

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Conflict Minerals Reporting Template (CMRT)

English

Click here to check required fields completion

1 or more required fields need to be completed

Revision 3.01
May 30, 2014

The purpose of this document is to collect sourcing information on tin, tantalum, tungsten and gold used in products

Click here to check required fields completion

Mandatory fields are noted with an asterisk (*). The information collected in this template should be updated annually. Any changes within the annual cycle should be provided to your customers

Company Information

Table with 2 columns: Field Name, Value. Fields include Company Name, Declaration Scope or Class, Description of Scope, Company Unique ID, Address, Contact Name, Email, Phone, Authorizer, Title, Email - Authorizer, Phone - Authorizer, Effective Date.

Answer the following questions 1 - 7 based on the declaration scope indicated above

Question 1: Is the conflict metal intentionally added to your product? Table with columns: Question, Answer, Comments. Rows for Tantalum, Tin, Gold, Tungsten.

Question 2: Is the conflict metal necessary to the production of your company's products and contained in the finished product that your company manufactures or contracts to manufacture? Table with columns: Question, Answer, Comments. Rows for Tantalum, Tin, Gold, Tungsten.

Question 3: Does any of the conflict metal originate from the covered countries? Table with columns: Question, Answer, Comments. Rows for Tantalum, Tin, Gold, Tungsten.

Question 4: Does 100 percent of the conflict metal (necessary to the functionality or production of your products) originate from recycled or scrap sources? Table with columns: Question, Answer, Comments. Rows for Tantalum, Tin, Gold, Tungsten.

Question 5: Have you received conflict metals data/information for each metal from all relevant suppliers of 3TG? Table with columns: Question, Answer, Comments. Rows for Tantalum, Tin, Gold, Tungsten.

Question 6: For each conflict metal, have you identified all of the smelters your company and its suppliers use to supply the products included within the declaration scope indicated above? Table with columns: Question, Answer, Comments. Rows for Tantalum, Tin, Gold, Tungsten.

Question 7: Has all applicable smelter information received by your company been reported in this declaration? Table with columns: Question, Answer, Comments. Row for Tantalum, Tin, Gold, Tungsten.

Answer the Following Questions at a Company Level

Table with 3 columns: Question, Answer, Comments. Questions A through J regarding conflict minerals sourcing policies, due diligence measures, and reporting requirements.